

# Plasma II

# L5: Diffusion and transport in tokamak plasmas

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Based on the lectures  
notes by D. Testa

March 21, 2025

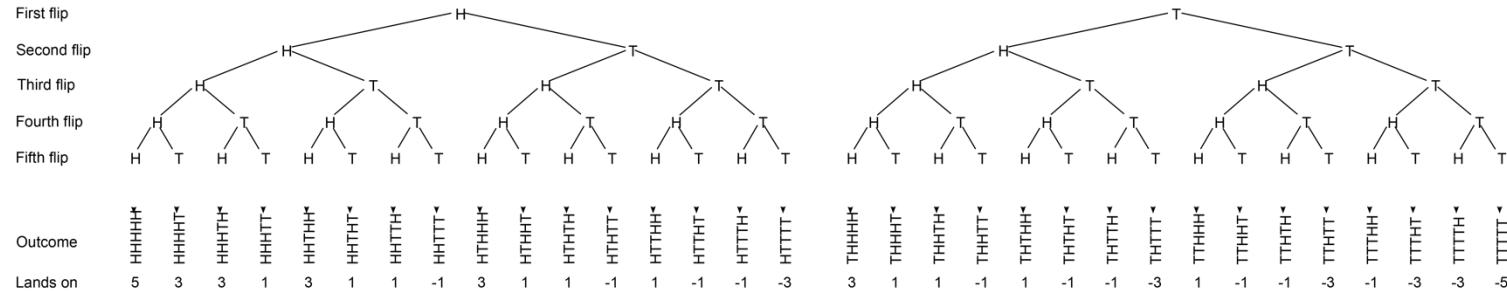
1. General principle of diffusive transport: **random walk**
2. Charge-neutrality → **ambipolar diffusion**
3. **Classical diffusion and transport** in a tokamak (highly ionised, magnetised plasma)
4. **Neo-classical diffusion and transport** in a tokamak (particle description)
5. **Turbulent transport** in tokamaks → anomalous diffusion

## Material

- See also EPFL MOOC “Plasma physics: Applications” #7d,e
  - [https://learning.edx.org/course/course-v1:EPFLx+PlasmaApplicationX+1T\\_2018/home](https://learning.edx.org/course/course-v1:EPFLx+PlasmaApplicationX+1T_2018/home)
- Wesson, *Tokamaks* - Third Edition, Ch. 2.4-2.6, 3.9-3.12, 4.1-4.8, 4.12-4.14, 4.17-4.18, 8.1-8.2

# Classical diffusion: random walk determined by a coin flip

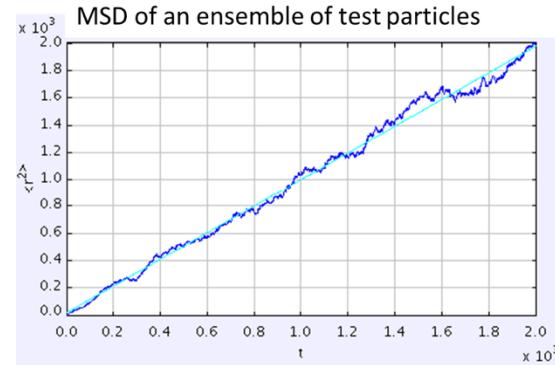
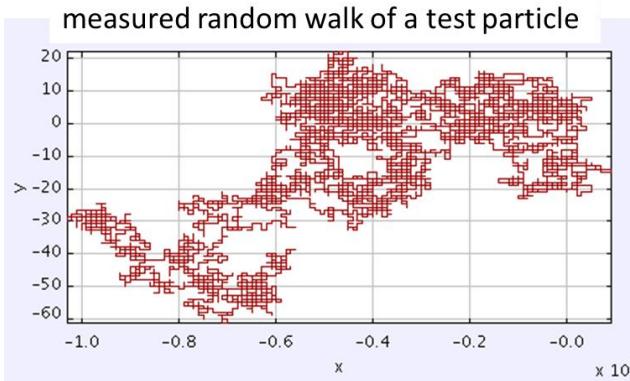
- Example of 1D random walk diffusion: Random walk, which starts at 0 and at each step moves +1 ('heads') or -1 ('tails') with equal probability



- Five flips: marker could now be on  $\{\pm 1, \pm 3, \pm 5\}$
- Overall probability:  $10 \times (+1), 10 \times (-1), 5 \times (+3), 5 \times (-3), 1 \times (+5), 1 \times (-5)$  out of 32
  - Average displacement:  $\langle \Delta x \rangle = 0$  (!)
  - Root mean square (RMS) displacement:  $\sqrt{\langle (\Delta x)^2 \rangle} = \sqrt{5}$
- General:  $N$  steps with step size  $\delta_{\text{step}}$  leads to  $\sqrt{\langle (\Delta x)^2 \rangle} = \delta_{\text{step}} \sqrt{N}$

# Classical diffusion: random walk across cellular membranes

- Example of 2D random walk diffusion



- Mean squared displacement  $\langle (\Delta x)^2 \rangle$  for an ensemble of test particles is proportional to time  $\Delta t \rightarrow$  i.e. number of steps  $N$ 
  - With time between steps  $\tau_{\text{step}}$ , time for  $N$  steps is  $\Delta t = N\tau_{\text{step}}$

$$\sqrt{\langle (\Delta x)^2 \rangle} = \delta_{\text{step}} \sqrt{N} \quad \Rightarrow \quad \langle (\Delta x)^2 \rangle = \delta_{\text{step}}^2 N = \frac{\delta_{\text{step}}^2}{\tau_{\text{step}}} \Delta t$$

Diffusion coefficient  $D$

# From displacement to transport

- Diffusion coefficient:  $D = \frac{\delta_{\text{step}}^2}{\tau_{\text{step}}} = \nu_{\text{step}} \delta_{\text{step}}^2$ 
  - Step frequency  $\nu_{\text{step}} = \tau_{\text{step}}^{-1}$
  - (Average) step size  $\delta_{\text{step}}$
- Displacement becomes only transport when there is a gradient

$$\bar{\Gamma} = -D \nabla n$$

*Fick's first law (of diffusion)*

- Random walk analogy can be equally applied to heat conduction

$$\bar{q} = -nk_B \chi \nabla T$$



Thermal conductivity  $k$  [W/(m·K)]

# Diffusive transport ‘closes’ the continuity equation

- Continuity equation (see L2)

$$\frac{\partial n}{\partial t} + \nabla(n \cdot \bar{V}) = S$$

- Assume diffusive transport

$$\frac{\partial n}{\partial t} - \nabla(D \nabla n) = S$$

*Diffusion equation*

- Estimate of confinement time:  $\tau_{\text{conf}} \approx a^2/D$ 
  - Characteristic system dimension  $a$

- Movement of gas atoms  $A$  from a region of a high concentration to a region of low concentration
- Only force on particles arises from collisions
  - Step frequency  $\nu$  → Collision frequency  $\nu_C$ 
    - Collisions need to exchange momentum with background gas for a diffusive transport of particle species  $A$  (!)
  - Step-size  $\delta$  → Mean free path  $\lambda_{\text{MFP}} = \nu_{\text{th}} \tau_C = \nu_{\text{th}} / \nu_C$
- Diffusion coefficient

$$D_{\text{GAS}} = \nu_C \lambda_{\text{MFP}}^2 = \nu_C \left( \frac{\nu_{\text{th}}}{\nu_C} \right)^2 = \frac{\nu_{\text{th}}^2}{\nu_C} = \frac{k_B T}{m \nu_C}$$

➤ Collisions slow down diffusive transport in a gas

1. General principle of diffusive transport: random walk
2. **Charge-neutrality → ambipolar diffusion**
3. Classical diffusion and transport in a tokamak (fully ionized, magnetized plasma)
4. Neo-classical diffusion and transport in a tokamak (particle description)
5. Turbulent transport in tokamaks → anomalous diffusion

# Diffusion in a weakly ionised plasma

- Diffusion coefficient:  $D = \nu_{\text{step}} \delta_{\text{step}}^2$ 
  - Step frequency  $\nu_{\text{step}}$   $\rightarrow$  Collision frequency  $\nu_c$
  - (Average) step size  $\delta_{\text{step}}$   $\rightarrow$  Mean free path  $\lambda_{\text{MFP}} = v_{\text{th}}/\nu_c$
- In a *weakly ionised plasma* collisions with neutrals dominate  $\nu_c = \nu^{e,i/n}$ 
  - Mean free paths  $\lambda_{\text{MFP}}$  for electrons and ions are the same

Mean free path

- ▶ Collision frequencies of e and i,  $\nu^{e,i/n} = v_{\text{th},e,i}/\lambda_{\text{MFP}}$ , differ

# Diffusion in a weakly ionised plasma

- Diffusion coefficient:  $D = \nu_{\text{step}} \delta_{\text{step}}^2$ 
  - Step frequency  $\nu_{\text{step}}$   $\rightarrow$  Collision frequency  $\nu_c$
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- In a *weakly ionised plasma* collisions with neutrals dominate  $\nu_c = \nu^{\text{e,i/n}}$ 
  - Mean free paths  $\lambda_{\text{MFP}}$  for electrons and ions are the same  $\rightarrow \nu^{\text{e,i/n}} = \frac{v_{\text{th,e,i}}}{\lambda_{\text{MFP}}}$

$$D_e = \lambda_{\text{MFP}} v_{\text{th,e}} \quad \text{and} \quad D_i = \lambda_{\text{MFP}} v_{\text{th,i}}$$

- For  $T_e = T_i$ ,

$$\frac{D_e}{D_i} = \frac{v_{\text{th,e}}}{v_{\text{th,i}}} = \sqrt{\frac{m_i}{m_e}} \gg 1$$

- In weakly ionised plasmas electron diffusivity is greater than ion diffusivity

# Ambipolar diffusion and electric field

- Consider particle motion in the absence of (or parallel to) the magnetic field in the presence of a density gradient:

$$\bar{\Gamma}_i = -D_i \nabla n_i + n_i \mu_i \bar{E} \quad \text{and} \quad \bar{\Gamma}_e = -D_e \nabla n_e + n_e \mu_e \bar{E}$$

- Non-ambipolar transport leads to charge separation and the creation of an electric field that balances the transport so that  $Z\bar{\Gamma}_i = \bar{\Gamma}_e = \bar{\Gamma}$

$$\bar{\Gamma} = -D_{AP} \nabla n \quad \text{with} \quad D_{AP} = \frac{\mu_i D_e - \mu_e D_i}{\mu_i - \mu_e}$$

- Using *Einstein's relation*  $D_s/\mu_s = k_B T_s/q_s$  and  $T_e = T_i$

$$D_{AP} = \frac{(Z + 1)D_i D_e}{ZD_i + D_e}$$

- With  $D_e \gg Z D_i$

$$D_{AP} \approx (Z + 1)D_i \quad \text{and} \quad \bar{E}_{AP} = \frac{D_i - D_e}{\mu_i - \mu_e} \frac{\nabla n}{n} \approx \frac{k_B T}{e} \frac{\nabla n}{n} \quad \text{Ambipolar electric field}$$

# Ambipolar diffusion and electric field

$$D_{AP} \approx (Z + 1)D_i \quad \text{and} \quad \bar{E}_{AP} = \frac{D_i - D_e}{\mu_i - \mu_e} \frac{\nabla n}{n} \approx \frac{k_B T}{e} \frac{\nabla n}{n}$$

- Diffusion coefficients:  $D_i < D_{AP} < D_e \rightarrow \bar{E}_{AP}$  slows down the electrons and increases ion diffusion by a factor  $1+Z_i \geq 2$

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# Reminder: *Coulomb collisions* in a highly ionised thermal plasma (Plasma I)

## Momentum transfer

- Electron-ion collisions

$$v_p^{e/i} = \frac{1}{3} \sqrt{\frac{2}{\pi}} n_i \frac{Z^2 e^4 \ln \Lambda}{4\pi \epsilon_0^2 m_e^{1/2}} \frac{1}{(k_B T_e)^{3/2}} \sim \mathbf{O(1)}$$

- Electron-electron collisions

$$v_p^{e/e} = \frac{1}{\sqrt{2}} v_p^{e/i}$$

$\sim \mathbf{O(1)}$

$$v_E^{e/e} = v_p^{e/e} \sim \mathbf{-1}$$

- Ion-ion collisions

$$v_p^{i/i} = \frac{1}{\sqrt{2}} \sqrt{\frac{m_e}{m_i}} \left( \frac{T_e}{T_i} \right)^{3/2} v_p^{e/i} \sim \frac{1}{43}$$

- Ion-electron collisions

$$v_p^{i/e} = \frac{n_e m_e}{n_i m_i} v_p^{e/i}$$

$$\sim \frac{1}{1836}$$

## Energy transfer

$$v_E^{e/i} = 2 \frac{m_e}{m_i} v_p^{e/i} \sim \frac{1}{1836}$$

$$v_E^{i/i} = v_p^{i/i} \sim \mathbf{-1}$$

$$v_E^{i/e} = 2 v_p^{i/e} \cong v_E^{e/i} \sim \frac{1}{1836}$$

# Classical picture of diffusion **along the magnetic field\*** in a highly ionised plasma

- Step-size

- Ions:  $\lambda_{\text{MFP},i} = v_{\text{th},i}/v^i$
- Electrons:  $\lambda_{\text{MFP},e} = v_{\text{th},e}/v^e$

$$D_{||} = \frac{v_{\text{th}}^2}{\nu} \quad \text{decreases with collisionality!}$$

- Collision frequencies

	Momentum	Energy
e-i $[v_p^{e/i}]$	1	$\sim m_e/m_i$
e-e $[v_p^{e/i}]$	$\sim 1$	$\sim 1$
i-i $[v_p^{e/i}]$	$\sim \sqrt{m_e/m_i}$	$\sim \sqrt{m_e/m_i}$
i-e $[v_p^{e/i}]$	$\sim m_e/m_i$	$\sim m_e/m_i$

- Collisions among particles of same species contribute to heat, but not particle transport

Electrons:  $D_{||}^e \approx v_{\text{th},e}^2/v_p^{e/i}$   $\chi_{||}^e \approx v_{\text{th},e}^2 / \left( v_E^{e/e} + \cancel{v_E^{e/i}} \right)$

$< v_E^{e/e}$

Ions:  $D_{||}^i \approx v_{\text{th},i}^2/v_p^{i/e}$   $\sim D_{||}^e$   $\chi_{||}^i \approx v_{\text{th},i}^2 / \left( v_E^{i/i} + \cancel{v_E^{i/e}} \right) < \chi_{||}^e$

$< v_E^{i/i}$

\*Or in an un-magnetised plasma

# Classical picture of diffusion **across** magnetic field lines in a highly ionised plasma

- Step-size (magnetised plasma!)

- Ions:  $\rho_{Li} = \sqrt{2m_i T_i} / (ZeB)$
- Electrons:  $\rho_{Le} = \sqrt{2m_e T_e} / (eB)$

$$D_{\perp} = v \rho_{\perp}^2 \quad \text{increases with collisionality!}$$

- Collision frequencies

	Momentum	Energy
e-i $[\nu_p^{e/i}]$	1	$\sim m_e/m_i$
e-e $[\nu_p^{e/i}]$	$\sim 1$	$\sim 1$
i-i $[\nu_p^{e/i}]$	$\sim \sqrt{m_e/m_i}$	$\sim \sqrt{m_e/m_i}$
i-e $[\nu_p^{e/i}]$	$\sim m_e/m_i$	$\sim m_e/m_i$

- Collisions among particles of same species contribute to heat, but not particle transport

Electrons:  $D_{\perp}^e \approx \nu_p^{e/i} \rho_{L,e}^2$

$$\chi_{\perp}^e \approx \left( \nu_E^{e/e} + \nu_E^{e/i} \right) \rho_{L,e}^2$$

$\nu_E^{e/e} < \nu_E^{e/i}$

Ions:  $D_{\perp}^i \approx \nu_p^{i/e} \rho_{L,i}^2 \sim D_{\perp}^{e/i}$

$$\chi_{\perp}^i \approx \left( \nu_E^{i/i} + \nu_E^{i/e} \right) \rho_{L,i}^2 \quad > \chi_{\perp}^e$$

$\nu_E^{i/i} < \nu_E^{i/e}$

# Overview of classical heat transport

Compare parallel and perpendicular heat transport in fusion plasmas

# Overview of classical particle transport

- For ions and electrons in thermal equilibrium ( $T_e = T_i$ ) the classical perpendicular diffusion coefficients are

$$D_{\perp,i} \approx v_p^{i/e} \rho_{L,i}^2 = v_p^{i/e} \frac{m_i T}{(eB)^2} = v_p^{e/i} \frac{m_e T}{(eB)^2} = v_p^{e/i} \rho_{L,e}^2 \approx D_{\perp,e}$$

- Cross-field diffusion is automatically **ambipolar** and there is no need for an electric field to maintain charge neutrality (also parallel!)
- $D_{\perp} \propto n/(B^2 \sqrt{T})$ , thus increasing the magnetic field reduces the classical cross-field diffusion
- $D_{\parallel}/D_{\perp} = (\Omega_e/v_p^{e/i})^2 \approx 10^{16}$  for typical fusion plasmas (similar for e and i)
  - Parallel diffusion is much faster than the perpendicular diffusion
    - While  $D_{\perp}$  increases with density,  $D_{\parallel} \propto T^{5/2}/n$  is reduced at higher density

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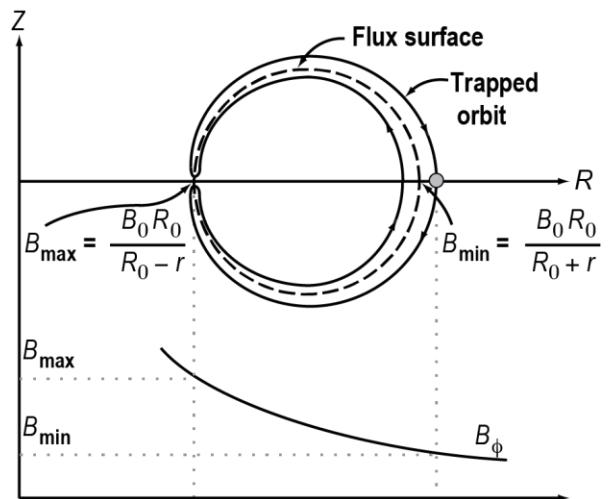
# Neoclassical diffusion in a tokamak

- Inhomogeneous magnetic field in a toroidal configurations results in drifts and magnetic mirrors ( $\rightarrow$  see E3-1)

- Condition for trapping in the magnetic mirror

$$\frac{v_{||}^2}{v^2} < 1 - \frac{B_{min}}{B_{max}} = 1 - \frac{R_0 - r}{R_0 + r} \approx 2 \frac{r}{R_0}$$

- Condition applies to  $\sqrt{2\varepsilon}$  of all particles  
 $\rightarrow$  trapped particle fraction

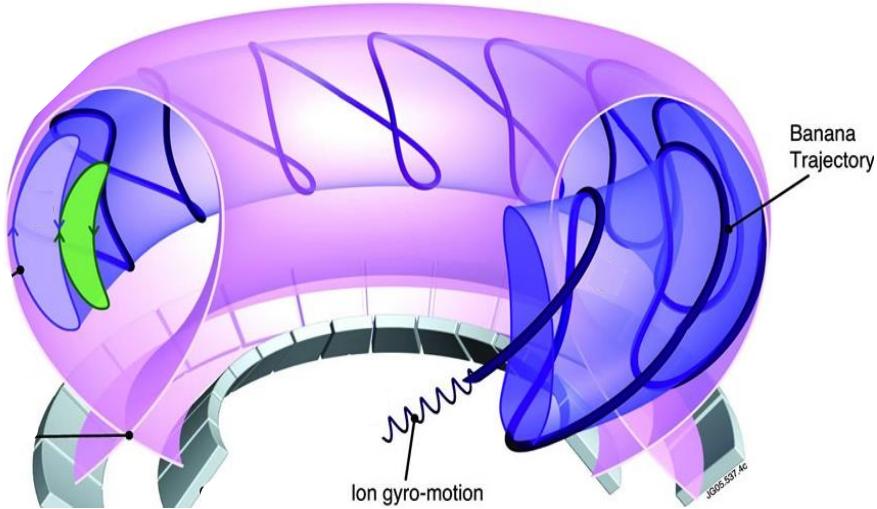


[J.P. Freidberg, PP and FE, Fig. 14.11]

➤ Distinguish between **trapped** and **passing particles**

# Neoclassical diffusion in a tokamak

- Toroidal drifts → trapped particles follow 'banana' orbits
  - Note that bananas also precess in the toroidal direction



- Drifts can affect transport, if particle can move through entire orbits before colliding
  - Orbit transit time  $\tau_{\text{TR}} \sim qR/v_{\parallel} < \tau_{\text{coll}}$

# Neoclassical diffusion in a tokamak: passing orbits

- Passing orbit follows flux surface continuously around the torus

- Orbit displaced by  $\delta_{\text{DRIFT}} \approx q\rho_L$
- Effective collision frequency

$$\nu_{\text{eff}} = \nu/\varepsilon$$

- Diffusion coefficient

$$D_{\text{PASS}} = (1 - \sqrt{2\varepsilon}) \nu_{\text{eff}} \delta_{\text{DRIFT}}^2$$

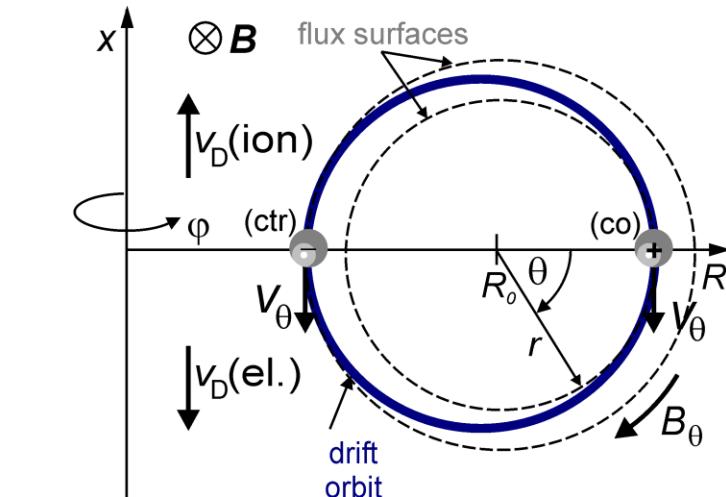
Passing particle fraction

$$= (1 - \sqrt{2\varepsilon}) \frac{q^2}{\varepsilon} \rho_L^2 \nu$$

D<sub>⊥,CLASS</sub>

- Compare

$$D_{\text{PASS}} = (1 - \sqrt{2\varepsilon}) \frac{q^2}{\varepsilon} D_{\perp,\text{CLASS}} \Rightarrow$$



$$\begin{cases} r \rightarrow 0: D_{\text{PASS}}(r) \approx \frac{q^2(r)}{\varepsilon(r)} D_{\perp,\text{CLASS}}(r) \\ r \rightarrow a: D_{\text{PASS}}(r) \approx q^2(r) D_{\perp,\text{CLASS}}(r) \end{cases}$$

# Neoclassical diffusion in a tokamak: banana orbits

- Trapped banana orbit sits on LFS of flux surface

- Orbit width:  $\delta_{BAN} \approx q\rho_L/\sqrt{\varepsilon}$
- Effective collision frequency:

$$\nu_{eff} = \nu/\varepsilon$$

- Diffusion coefficient

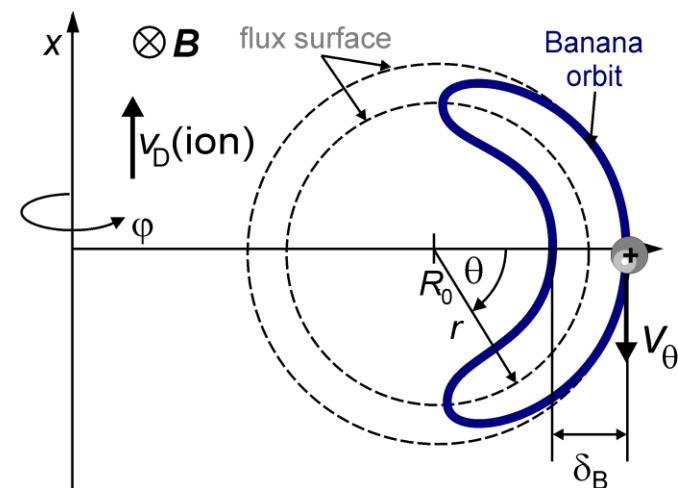
$$D_{BAN} = \sqrt{2\varepsilon} \nu_{eff} \delta_{BAN}^2 = \frac{\sqrt{2}q^2}{\varepsilon^{3/2}} \nu \rho_L^2$$

Trapped particle fraction 

- Compare

$$D_{BAN}(r) \approx \frac{\sqrt{2/\varepsilon(r)}}{1 - \sqrt{2\varepsilon(r)}} D_{PASS}(r)$$

$$D_{BAN}(r) \approx \begin{cases} \sqrt{2/\varepsilon(r)} D_{PASS}(r) > D_{PASS}(r) \\ \frac{\sqrt{2} q^2(r)}{\varepsilon^{3/2}(r)} D_{\perp,CLASS}(r) \gg D_{\perp,CLASS}(r) \end{cases}$$



# Neoclassical diffusion in a tokamak: Pfirsch-Schlüter regime

- **Pfirsch-Schlüter transport:** short mean free path limit  $\lambda_{\text{MFP}} \ll Rq$ 
  - Even passing particles do not complete a full orbit

- Parallel diffusion with  $D_{||} = v_c \lambda_{\text{MFP}}^2 = \frac{v_{th}^2}{v_c}$

- Time for a poloidal revolution

$$\tau_{pol} = \frac{(Rq)^2}{D_{||}} = \frac{(Rq)^2}{v_{th}^2} v_c$$

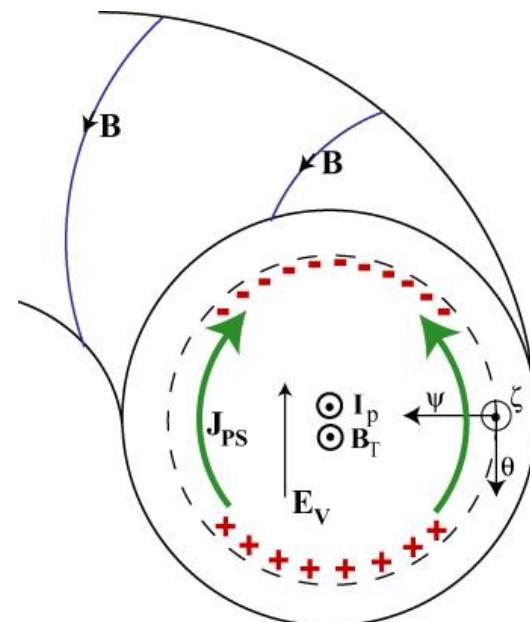
- Perpendicular drift (curvature and  $\nabla B$ )

$$v_{Drift} \sim v_{th} \frac{\rho_L}{R}$$

- Diffusion coefficient  $D_{PS}$

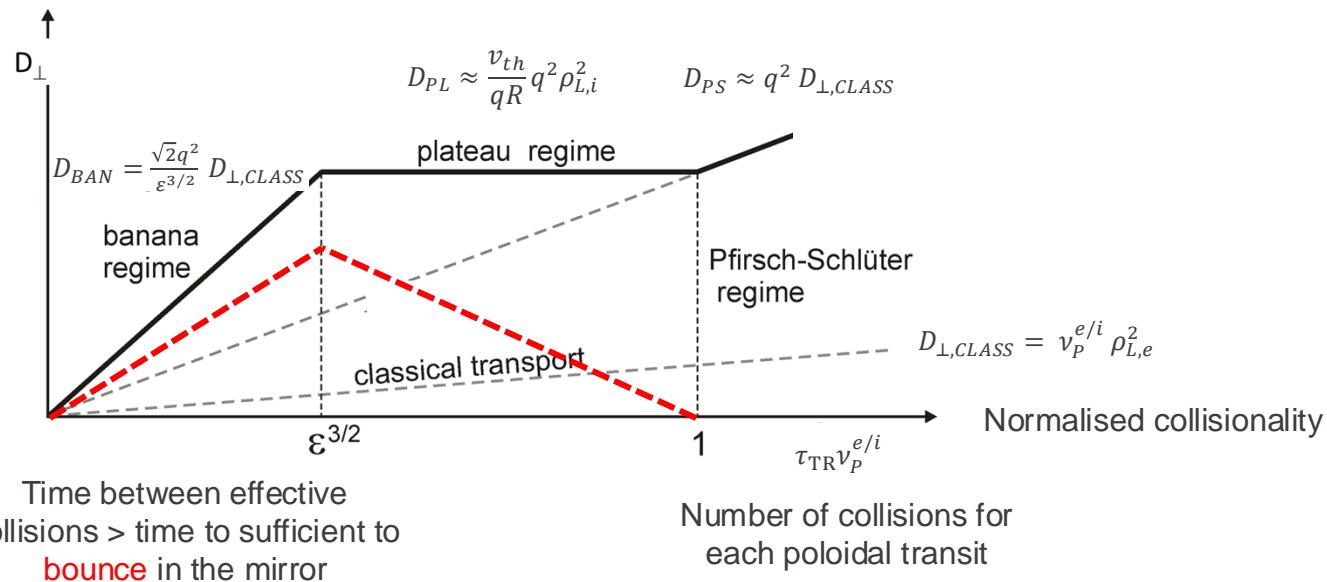
$$D_{PS} = \frac{(v_{Drift} \tau_{pol})^2}{\tau_{pol}} \sim q^2 v_c \rho_L^2$$

- Compare  $D_{PS} = q^2 D_{\perp, \text{CLASS}} \gg D_{\perp, \text{CLASS}}$



# Neoclassical diffusion in a tokamak: collisionality dependence

- Scaling of the perpendicular diffusion coefficient  $D_{\perp}$  as function of the collision frequency  $\nu_P^{e/i}$  for momentum transfer between ions and electrons in different regimes of collisionality



# Neoclassical diffusion in a tokamak: typical numbers

- Typically  $D_{\perp, \text{NEO}} \approx (25 - 60) \times D_{\perp, \text{CLASS}}$
  - JET case (see **Exercise 2**)
    - $D_{\perp, \text{CLASS}} \approx 5 \times 10^{-5} \text{ m}^2/\text{s}$
    - $D_{\perp, \text{NEO}} \approx (1 - 5) \times 10^{-3} \text{ m}^2/\text{s}$
  - However: measured diffusion typically  $D_{\perp, \text{meas}} \approx a^2/\tau_E \approx 1 \text{ m}^2/\text{s} \rightarrow$  still much larger than  $D_{\perp, \text{NEO}}$ !
- Must consider anomalous diffusion

# Classical vs. neo-classical diffusion in a tokamak

## Classical diffusion

- Tokamak approximated by a straight cylinder → absence of magnetic field curvature
- Particle orbits = Larmor orbits
- Classical diffusion coefficient:

$$D_{\perp, \text{CLASS}} \sim v_p^{e/i} \rho_{L,e}^2$$

## Neo-classical diffusion

- Tokamak as a toroidal device → magnetic field curvature becomes important
- Particle orbits: more complex than simple Larmor orbits
- Neo-classical diffusion coefficient:

$$D_{\perp, \text{NEO}}(r) \sim q^2/\varepsilon^\gamma \times D_{\perp, \text{CLASS}}$$

- $\gamma$  depends on relevant orbit, i.e. regime (Banana, plateau, Pfirsch-Schlüter)

**JET:**

- $D_{\perp, \text{CLASS}} \approx 5 \times 10^{-5} \text{ m}^2/\text{s}$
- $D_{\perp, \text{NEO}} \approx (1-5) \times 10^{-3} \text{ m}^2/\text{s}$
- But  $D_{\perp, \text{meas}} \approx 1 \text{ m}^2/\text{s}$  still  $\gg D_{\perp, \text{NEO}}$  → **Diffusion is anomalous!**

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# Turbulent fluctuations and diffusion

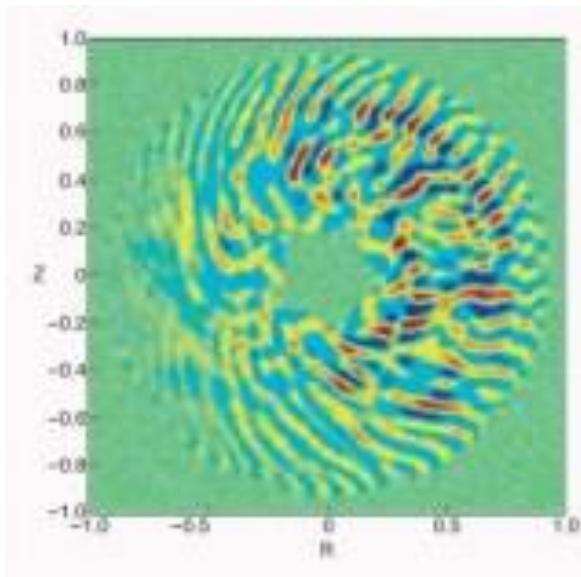
- **Electrostatic (ES) fluctuations:**  $\delta E_\theta = -\nabla_\theta \phi$  produce an  $\bar{E} \times \bar{B}$  drift velocity  $\delta v_r = \delta E_\theta / B_0$ 
  - Lead to a convective flux
$$\delta \Gamma_{\text{ES}} = \langle \delta v_r \delta n \rangle = \frac{1}{B_0} \langle \nabla_\theta \phi \delta n \rangle$$
  - $\delta \Gamma_{\text{ES}}$  vanishes, if the turbulent fields  $\delta n$  and  $\delta \phi$  are exactly in-phase
  - Various effects can introduce a phase shift and, hence finite  $\delta \Gamma_{\text{ES}}$
- **Electromagnetic (EM) fluctuations:**  $\delta B_r$  produce a convective particle flux

$$\delta \Gamma_{\text{EM}} = \frac{n_0}{B_0} \langle \delta v_{||} \delta B_r \rangle$$

- The instabilities causing ES and/or EM turbulent fluctuations typically occur over a scale length comparable to a few ion Larmor radii: **micro-instabilities**

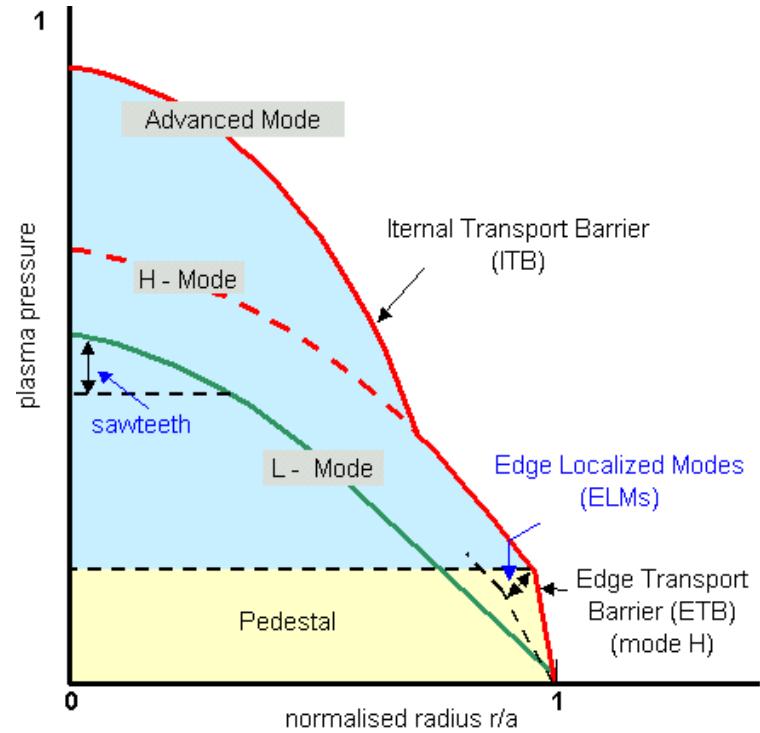
# Modelling anomalous diffusion

- Electric potential in a JET plasma turbulence simulation



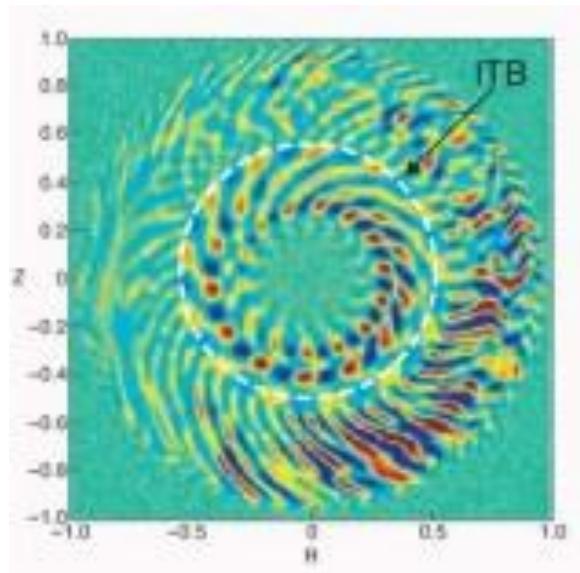
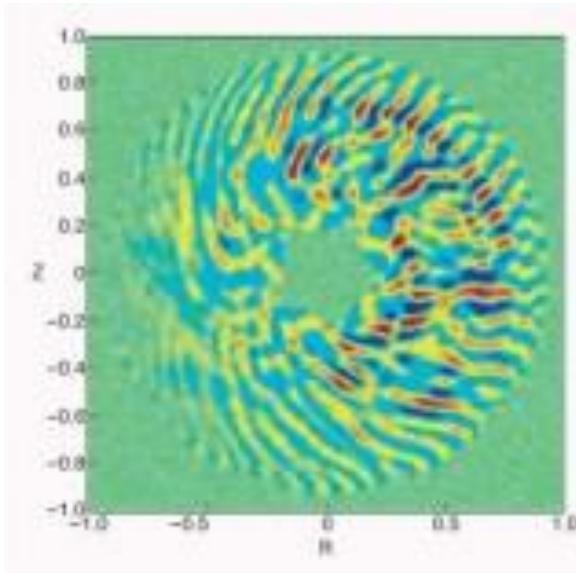
# Anomalous diffusion and transport barriers

- **L-mode:** basic low-confinement regime  $\rightarrow D_{\perp, \text{meas}} \approx 500 \times D_{\perp, \text{NEO}}$
- Reduce diffusion at plasma edge:  
**H-mode**  $\rightarrow$  edge transport barrier:  
 $D_{\perp, \text{meas}} \approx 5 \times D_{\perp, \text{NEO}}$
- Reduce diffusion in plasma core:  
advanced scenario with internal transport barrier (**ITB regime**)  $\rightarrow$   
 $D_{\perp, \text{meas}} \approx 2 \times D_{\perp, \text{NEO}}$



# Modelling anomalous diffusion

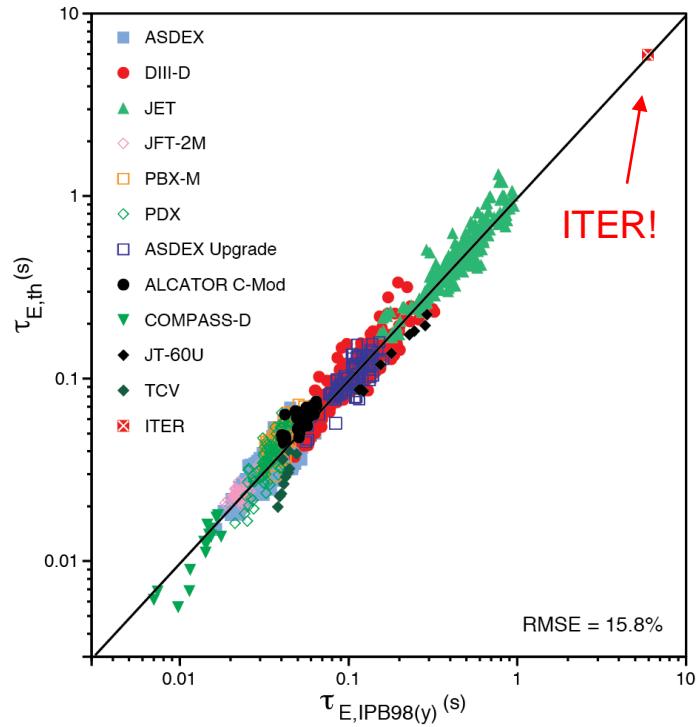
- Electric potential in a JET plasma turbulence simulation
  - Reproduce plasma states without (left) and with (right) an Internal Transport Barrier (ITB)



# The alternative: an empirical approach

- Pending a first principle theory of turbulent transport next steps such as ITER are planned based on empiric power scaling laws
  - Typically based on **engineering parameters**, e.g. IPB98(y)

$$\tau_{E,th}^{\text{ELMy}} = 0.0365 I_P^{0.97} B_T^{0.08} P^{-0.63} n^{0.41} \times M^{0.20} R^{1.93} \varepsilon^{0.23} \kappa^{0.67}$$



[E. Doyle, et al, Nucl. Fusion (1999)]

- Experimentally observed transport exceeds neo-classical transport by several orders of magnitude → anomalous transport
- Anomalous diffusion due to
  - Perturbations that allow transport across nested magnetic flux surfaces → electro-static turbulence
  - Perturbations that break-up nested magnetic surfaces allowing particles and energy to flow along newly formed field lines which follow stochastic trajectories → electro-magnetic turbulence
- Suppression of turbulent transport locally increases gradients → transport barriers
- Simulations of turbulent transport capable of reproducing observations